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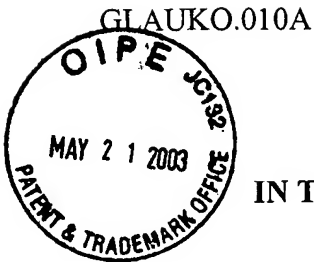
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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hosheng Tu, et al.  
App. No. : 10/046,137  
Filed : November 8, 2001  
For : DRUG-RELEASING  
TRABECULAR IMPLANT  
FOR GLAUCOMA  
TREATMENT  
Examiner : Unknown  
Group Art Unit : 3738

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450 on

May 19, 2003

(Date)

James W. Hill, M.D., Reg. No. 46,396

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing sixteen (16) references that are also enclosed. This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Identification herein is not an admission that any of the foregoing is prior art to the above-captioned application.

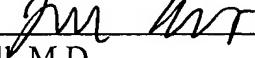
Appl. No. : 10/046,137  
Filed : November 8, 2001

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

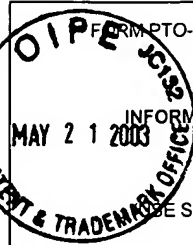
I hereby certify that some of the items of information contained in this Statement were first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: May 19, 2003

By:   
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 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. GLAUKO.010A	APPLICATION NO. 10/046,137
	APPLICANT Hosheng Tu, et al.	
	FILING DATE November 8, 2001	GROUP ART UNIT 3738

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	3,788,327	01-29-1974	Donowitz, et al.			
	2	4,168,697	09-25-1979	Cantekin			
	3	4,722,724	02-02-1988	Schocket			
	4	5,092,837	03-03-1992	Ritch, et al.			
	5	5,360,399	11-01-1994	Stegmann			
	6	5,472,440	12-05-1995	Beckman			
	7	5,830,139	11-03-1998	Abreu			
	8	5,868,697	02-09-1999	Richter, et al.			
	9	6,533,768 B1	03-18-2003	Richard A. Hill			
	10	6,544,249 B1	04-08-2003	Yu, et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11	WO 94/13234	06-23-1994	PCT				
	12	WO 01/78656 A2	10-25-2001	PCT				
	13	WO 03/015659 A2	02-27-2003	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	14	U.S. Patent Publication No. US 2002/0026200; publication date 02-28-2002; Inventor: Savage; Title: METHOD AND APPARATUS FOR TREATMENT OF GLAUCOMA
	15	U.S. Patent Publication No. US 2002/0072673 A1; publication date 06-13-2002; Inventor Yamamoto, et al; Title: TREATMENT OF OCULAR DISEASE
	16	U.S. Patent Publication No. US 2003/0069637 A1; publication date 04-10-2003; Inventor: Lynch, et al. Title: STENT DEVICE AND METHOD FOR TREATING GLAUCOMA

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	